

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: JAMES ZU-CHIA TENG

Serial No.: 10/709,416 Art Unit: 2168

Filed: 5/4/2004 Examiner: GORTAYO, D.

Title: *An Efficient Locking Protocol for Sub-Document Concurrency Control Using Prefix Encoded Node Identifiers in XML Databases*

**RESPONSE TO OFFICE ACTION / AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Commissioner:

In response to the Office Action mailed 12/27/2006, the Applicants submit the following:

Amendments to the **Drawings** are not being made by this paper.

Amendments to the **Specification** are not being made by this paper.

Amendments to the **Claims** begin on page 2 of this paper.

**Remarks** begin on page 10 of this paper.